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PTO/SB/21 (08-00)  
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(To be used for all correspondence  
after initial filing)

Application Number	09/897,778
Filing Date	June 28, 2001
First Named Inventor	Tongtong Wang
Group Art Unit	1646
Examiner Name	
Attorney Docket No.	210121.455C16

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## ENCLOSURES (check all that apply)

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| <input type="checkbox"/> Fee Transmittal Form  | <input type="checkbox"/> Assignment Papers<br>(for an Application)                             | <input type="checkbox"/> CD(s), Number<br>of CD(s) _____   |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Drawing(s)  | <input type="checkbox"/> After Allowance<br>Communication to Group                               |
| <input type="checkbox"/> Amendment/Response  | <input type="checkbox"/> Request for Corrected Filing<br>Receipt                               | <input type="checkbox"/> Appeal Communication to<br>Board of Appeals and<br>Interferences        |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Licensing-related Papers  | <input type="checkbox"/> Appeal Communication to<br>Group (Appeal Notice, Brief,<br>Reply Brief) |
| <input type="checkbox"/> Affidavits/declaration(s)   | <input type="checkbox"/> Petition  | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Extension of Time Request   | <input type="checkbox"/> Petition to Convert to a<br>Provisional Application                   | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Express Abandonment<br>Request  | <input type="checkbox"/> Power of Attorney,<br>Revocation, Change of<br>Correspondence Address | <input checked="" type="checkbox"/> Return Receipt Postcard                                      |
| <input checked="" type="checkbox"/> Supplemental Information<br>Disclosure Statement; Form<br>PTO-1449 | <input type="checkbox"/> Declaration   | <input checked="" type="checkbox"/> Additional Enclosure(s)<br>(please identify below):          |
| <input checked="" type="checkbox"/> Cited References (5)   | <input type="checkbox"/> Statement under 37 CFR<br>3.73(b)                                     | <u>Copy of Invitation to Pay</u>   |
| <input type="checkbox"/> Certified Copy of Priority<br>Document(s)                                     | <input type="checkbox"/> Terminal Disclaimer   | <u>Additional Fees for</u>   |
| <input type="checkbox"/> Response to Missing Parts<br>under 37 C.F.R. 1.52 or 1.53                     | <input type="checkbox"/> Small Entity Statement  | <u>PCT/US01/47576</u>  |
| <input type="checkbox"/> Response to Missing<br>Parts/Incomplete Application                           | <input type="checkbox"/> Request for Refund  |  |

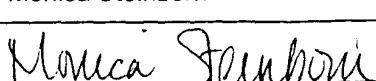
Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual Name	Jeffrey Hundley, Ph.D. 42,676	 00500 PATENT TRADEMARK OFFICE
Signature		
Date	4/23/02	

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date specified below.

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Applicants : Tongtong Wang et al.  
Application No. : 09/897,778  
Filed : June 28, 2001  
For : COMPOSITIONS AND METHODS FOR THE THERAPY AND  
DIAGNOSIS OF LUNG CANCER

Art Unit : 1646

Docket No. : 210121.455C16

Commissioner for Patents  
Washington, DC 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

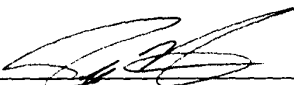
Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached Form PTO-1449 (copies of the cited references, as required under 37 C.F.R. § 1.98, are enclosed). Documents on the attached Form PTO-1449 were cited in an Invitation to Pay Additional Search Fees for corresponding foreign application PCT/US01/47576, mailed March 28, 2002, a copy of which is enclosed. As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

I hereby certify that each of the references set forth on the attached form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

Please acknowledge receipt of this Supplemental Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,  
Seed Intellectual Property Law Group PLLC

  
\_\_\_\_\_  
Jeffrey Hundley, Ph.D.  
Registration No. 42,676

JEH:mls

Enclosures:

Postcard  
Form PTO-1449  
Form PTB/SB/21  
Copies of Cited References (5)  
Copy of Invitation to Pay Additional Fees for PCT/US01/47576

701 Fifth Avenue, Suite 6300  
Seattle, Washington 98104-7092  
Phone: (206) 622-4900  
Fax: (206) 682-6031

FORM PTO-1449  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
210121.455C16APPLICATION NO.  
09/897,778**SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT**

(Use several sheets if necessary)

APPLICANTS  
Tongtong Wang et al.FILING DATE  
June 28, 2001GROUP ART UNIT  
1646

MAY 06 2002

MAY 08 2002

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION IF APPROPRIATE	
					YES	NO
	AE					
	AF					
	AG					
	AH					
	AI					

**OTHER PRIOR ART** (Including Author, Title, Date, Pertinent Pages, Etc.)

	AJ	GenBank Accession Number AF043977, June 23, 1999.
	AK	GenBank Accession Number U85946, July 30, 1999.
	AL	Geneseq Accession Number AAZ24653, December 7, 1999.
	AM	Gruber et al., "Molecular cloning and transmembrane structure of hCLCA2 from human lung, trachea, and mammary gland," <i>Am. J. Physiol.</i> 276(Cell Physiol 45):C1261-C1270, 1999.
	AN	Guo et al., "Identification and characterization of homologues of the Exocyst component Sec10p," <i>FEBS Letters</i> 404(2-3):135-139, 1997.

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).